Docket No.: 488-027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT OPERATIONS

In re Application of:

Akihiko Fukazama

Group Art Unit: -
Serial No.: 10/697,758

Examiner: --

For: SOLID TYPE EC ELEMENT AND PROCESS FOR PRODUCING THE

SAME

New York, NY 10036 January 28, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Filed: October 30, 2003

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is being filed under the provisions of 37 CFR§1.97.

EPO Search Report Lists references cited by Examiner.

US 5,640,274 Discloses electrocromic rearview mirror for and

automobile improved in a water proof ability.

US 2002/0149829 A1 Discloses solid state electrochromic device, and mirror

system and crt display using same.

JP 6-138476 Discloses electrochromic mirror.

JP 63-196342 Discloses electrochromic element having increased

weather resistance.

It is hereby certified that each item of information contained in this

Information Disclosure Statement was cited in a communication from a foreign patent

office in a counterpart foreign application not more that three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

James V. Costigan

Registration No. 25,669

MAILING ADDRESS
HEDMAN & COSTIGAN, P.C.
1185 Avenue of the Americas
New York, NY 10036-2646
(212) 302-8989

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on: January 28, 2004

James V. Costigan, Registration No. 25,669



PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO 10/697,758 **Application Number** INFORMATION DISCLOSURE Filing Date October 30, 2003 Akihiko Fukazama STATEMENT BY APPLICANT First Named Inventor **Art Unit** (use as many sheets as necessary) Examiner Name Attorney Docket Number 488-027 of 2 Sheet 1

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-----------|--|--------------------------------|--|--|--|
| Examiner Initials | | Document Number Number - Kind Code ² (if known | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| | AA AB | us- 5,640,274 us- 2002/0149829 | 06/17/1997 10/17/2002 | Iwama, et al Mocizuka, et al | | |
| | AC | | 10/1//2002 | - | | |
| | AD AE | US- | | | | |
| | AF AF | US- | | | | |
| | AG | | | - | | |
| | AH AI | US- US- | | | | |
| | AJ AK | US- | | | | |
| | AL | US- | | | alanas a mangana maga ito poppinganaha miggaya ya ahan agampu aga pa ito ya ya ya kata ma a a a a a a a a a a | |
| <u> </u> | AM. | US- | | | | |
| w | AN AO | US- | | | | |
| | AP | US- | | | | |
| | AQ. AR | US- | | | | |
| | AS | US- | | | | |
| | AT | US- | | | | |

| | | FORI | IGN PATENT D | OCUMENTS | | |
|--|--------------------------|--|--------------------------------|--|--|----|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code 3 - Number 4 - Kind Code 5 (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Т6 |
| | AU | JP 6-38476 | 06/21/1994 | Tokai Rika Co. Ltd. | | |
| | ΑV | JP 63-196342 | 08/6/1988 | Nikon Corporation | | |
| | AW | | | * | | |
| | AX. | | | | | |
| | AY_ | | | | | |
| | AZ_{-} | | | | | |
| | AA_ | | · | | | |
| | \underline{BB} | • | | | | |
| ************************************** | CC DD | TOTAL CONTRACTOR CONTR | | | and the state of t | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449B/PTO 10/697,758 **Application Number** INFORMATION DISCLOSURE October 30, 2003 Filing Date Akihiko Fukazama STATEMENT BY APPLICANT First Named Inventor **Group Art Unit** (use as many sheets as necessary) Examiner Name Attorney Docket Number | 488-027 of |2 Sheet |2

| OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS | | | | |
|---|--------------------------|---|------------------------------------|--|
| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | |
| | | EPO Search Report | | |
| ************************************** | | | | |
| *************************************** | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | -lbi-j _a 14 <u>1</u> 44 | |
| | | | | |
| Examine | · | Date | | |

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. Washington, DC 20231.

Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.